

SYED RAHEEL HASHMI

Email: raheelhashmi@hotmail.com

Location: Birmingham, UK

Visa Status: Tier 2 Dependent Visa – eligible to work

Contact: +44 7539 440390

LinkedIn: <https://www.linkedin.com/in/syed-raheel-hashmi-fca-3b78042/>

Experienced Audit & Risk Professional – 20 years of professional experience

A Chartered Accountant with extensive experience and expertise in the field of Auditing, Risk Management, Quality Assurance, Internal Controls, and Enterprise Risk Assessment within the Banking and Assurance sectors. A successful career with over 20 years in top banks and Big 4 audit firms.

Recognised for establishing and overseeing robust internal audit functions, designing control environment, and building internal audit teams. Expertise in risk assessment, development of audit programs, and executing the audit plan covering the credit, market, operational risk and compliance. Performed several fraud investigations.

Proven leader with outstanding relationship building and team management skills.

Proven record of establishing control functions and formulating internal audit policies & procedures, audit charter, and auditor's code of conduct.

Areas of Expertise

- ⇒ External Audit / assurance
- ⇒ Internal Audit
- ⇒ International Financial Reporting Standards (IFRS)
- ⇒ Establishment of Internal Audit Function
- ⇒ Corporate & Retail Banking Portfolio Reviews
- ⇒ Enterprise Risk Assessment
- ⇒ Process Improvement
- ⇒ Basel II, ICAAP, ALM
- ⇒ Operational Risk Management
- ⇒ Special Investigations

WORK EXPERIENCE

Noor Bank PJSC, Dubai, United Arab Emirates (A Dubai Government owned bank)

Position: Senior Vice President – Internal Audit Department

Period: December 2010 to March 2020

- Developed the Internal Audit Charter of the Bank, Audit Policies & Procedures, and Auditors' Code of Conduct.
- Established the Internal Audit function of group companies i.e. Noor Takaful and Digital Financial Services.
- Played a major role in implementation of internal audit software i.e. TeamMate.
- Developed and managed audit co-sourcing model with a big 4 firm and internal guest auditor program.
- Pivotal role in quality assessment and certification of Internal Audit department under International Professional Practices Framework (IPPF) by the Institute of Internal Auditors.

Job responsibilities:

- Managing the Corporate & Group Audit Function reporting into the Chief Internal Auditor.
- Assisting the Chief Internal Auditor in formulating strategies for the audit function.
- Quarterly presentation to Board's Audit Committee on new audit and risk issues.
- Developing the annual audit plan and preparing risk matrix for Corporate, Credit, Finance, and Group Entities including Takaful Company.
- Leading the audit team on critical audits and performing audits (end to end) using risk based approach.
- Preparing the audit reports and presenting value added recommendations.

Previous Position at Noor Bank: Head – Quality Assurance (Operational Risk Management)

Period: May 2008 to November 2010

- Established the Quality Assurance (QA) function in the newly formed Bank.
- Significant role in the implementation of Operational Risk Management framework in the Bank including introduction of Key Control Self Assessment (KCSA), loss data capturing and reporting mechanism, validation

of Basel 2 Operational Risk capital calculations.

- Developed the QA Charter, Policies and procedures and Staff Job descriptions/objectives.
- Supervised the development of "Management Risk Awareness System" for registering important risk issues and follow ups thereon.

Job responsibilities:

- Managing the Quality Assurance (QA) and Internal Control teams performing risk based reviews of policies, processes, and products of the Bank. The function also has important responsibility of implementing independent controls on GL of the Bank and reporting unusual and long pending issues in the Bank's book of accounts.
- Evaluating the internal control setup and the nature, quality and the reliability of the information produced particularly financial and accounting information and issuing QA reports to improve the processes and enhance controls.

Banque Saudi Fransi, Riyadh, Saudi Arabia (associated concern of Credit Agricole, France)

Position: Banking Audit Manager

Period with the Bank: October 2003 to May 2008

Key achievements:

- Instrumental in implementing a risk based audit methodology in the Bank and phasing out of the legacy audit approach.
- Led all complex and critical audit missions successfully.

Job responsibilities:

- Heading the critical Banking Audit Function with full scope coverage of all Business and Support functions encompassing Operational, Credit & Market Risk.
- Managing a team of auditors performing risk based audit of processes and products of the Bank. Performed extensive audits of Finance, Corporate Planning, Budgeting & Reporting, Treasury, Risk, Corporate, and Retail Banking.
- Contributing to the development of overall audit methodology in line with professional standards.
- Developing the annual audit plan, preparing risk matrix, finalizing annual budget of the department, etc.
- Effectively reviewing the audit reports and presenting value added recommendations.
- Participating in fraud and other special investigations.
- Preparing monthly audit activity report and presentations to the Audit Committee of the Board.
- Supervising, developing and motivating the audit team.

National Bank of Fujairah, United Arab Emirates

Position: Credit Analyst/Relationship Officer – Business & Commercial Banking

Period: May 2002 to October 2003

Job responsibilities:

- Managing the credit portfolio (Corporate & Retail) of the Sharjah branch.
- Visiting new and existing customers for soliciting new business.
- Credit analysis of prospective corporate customers and preparing credit proposals.
- Liaison with Head Office, Lawyers (for legal documentation) and other banks.

Arthur Andersen & Co., Muscat, Sultanate of Oman

Position: Senior Associate (Audit and Business Advisory Services)

Period: July to September 2001

Job responsibilities:

- Joined Arthur Andersen as a Financial Services specialist and performed audits and reviews of national and multinational banks.
- Planning, supervision and review of audits.
- Preparation of Financial Statements, Internal Control Memorandum and Management Letter.

PricewaterhouseCoopers (PwC), Singapore

Worked as Senior Associate on deputation from December 2000 to February 2001 in Assurance and Business

Advisory

PricewaterhouseCoopers (PwC), Karachi (represented by A. F. Ferguson & Co., Chartered Accountants)

Position: Assistant Manager (Assurance and Business Advisory Services)

Period: February 1996 to June 2001 (Joined as a trainee and promoted to the position of Assistant Manager)

Job responsibilities:

- Managing a portfolio of clients; agreeing terms of engagement, audit planning and risk assessment.
- Leading teams on statutory audits.
- Interacting with partners for review and finalization of audits and related jobs.

QUALIFICATIONS / CERTIFICATIONS

Institute of Chartered Accountants in England and Wales (ICAEW)

- Associate member of the Institute

Institute of Financial Accountants (IFA), UK

- Fellow member of the Institute

Institute of Public Accountants (IPA), Australia

- Fellow member of the Institute

Institute of Chartered Accountants of Pakistan (ICAP)

- Fellow member of the Institute

Institute of Internal Auditors (IIA), USA

- Completed Certified Internal Auditor (CIA) examination / certification in November 2004.

Bachelor of Commerce – 1995 from the University of Karachi

COMPUTER KNOWLEDGE

- Carried out audits using various special audit software developed by PriceWaterhouseCoopers such as TEAM ASSET, TEAMMATE, Planet and PW Star for planning and execution of audits and preparation of audit programmes.
- Working knowledge of **Microsoft Word, Excel and Power point**
- Worked on Moody's financial analysis software.

PERSONAL DATA

Date of Birth:	20 May 1974
Languages:	English and Urdu
Nationality:	Pakistani
References:	Can be provided